



Telephone-cord instabilities in thin smectic capillaries

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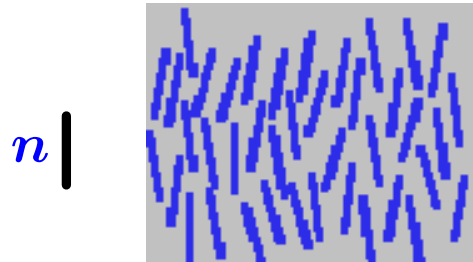
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Overview

- Free energy functional and geometry
- Chirality-induced SmA-SmC transition
- Bent SmA*
- Helicoidal SmC*

Nematic liquid crystals - Frank energy



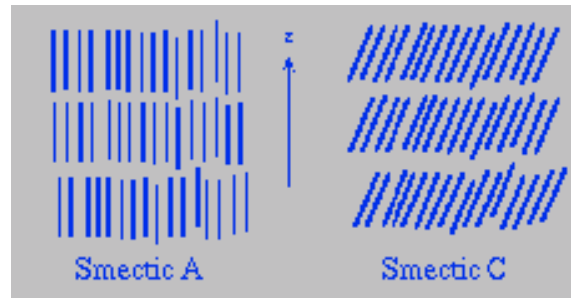
Order parameter: \mathbf{n} , director

$$\sigma_{\text{nem}} = K_1 (\text{div } \mathbf{n})^2 + K_2 (\mathbf{n} \cdot \text{curl } \mathbf{n} + q_{\text{ch}})^2 + K_3 |\mathbf{n} \wedge \text{curl } \mathbf{n} + \mathbf{v}_0|^2 \\ + (K_2 + K_4) (\text{tr}(\nabla \mathbf{n})^2 - (\text{div } \mathbf{n})^2)$$

q_{ch} : cholesteric pitch

\mathbf{v}_0 : spontaneous bend (below)

Smectic liquid crystals - elastic energy



Order parameter: $\psi = \rho e^{i\omega}$,

$$\sigma_{\text{sm}} = C_{\parallel} |\mathbf{n} \cdot (\nabla\psi - i q_{\text{sm}} \psi \mathbf{n})|^2 + C_{\perp} |\nabla\psi - i q_{\text{sm}} \psi \mathbf{n}|_{\perp}^2 + \zeta(\rho)$$

q_{sm} : smectic pitch

$$|\mathbf{n} \cdot (\nabla\psi - i q_{\text{sm}} \psi \mathbf{n})|^2 = (\mathbf{n} \cdot \nabla\rho)^2 + \rho^2 (\mathbf{n} \cdot \nabla\omega - q_{\text{sm}})^2$$

$$|\nabla\psi - i q_{\text{sm}} \psi \mathbf{n}|_{\perp}^2 = |\nabla\rho|_{\perp}^2 + \rho^2 |\nabla\omega|_{\perp}^2$$

$C_{\perp} \lesseqgtr 0$; σ_{sm} positively defined provided $C_{\parallel} \cos^2 \alpha + C_{\perp} \sin^2 \alpha > 0$
 (α , angle $(\mathbf{n}, \nabla\omega)$)

Spontaneous polarization

Let $\mathbf{P} = P \mathbf{p}$ be the (spontaneous) polarization vector.

$$\sigma_{\text{pol}}[\mathbf{P}] = G_1 (\text{div } \mathbf{P})^2 + G |\nabla \mathbf{P}|^2 + \mathcal{G}(P) .$$

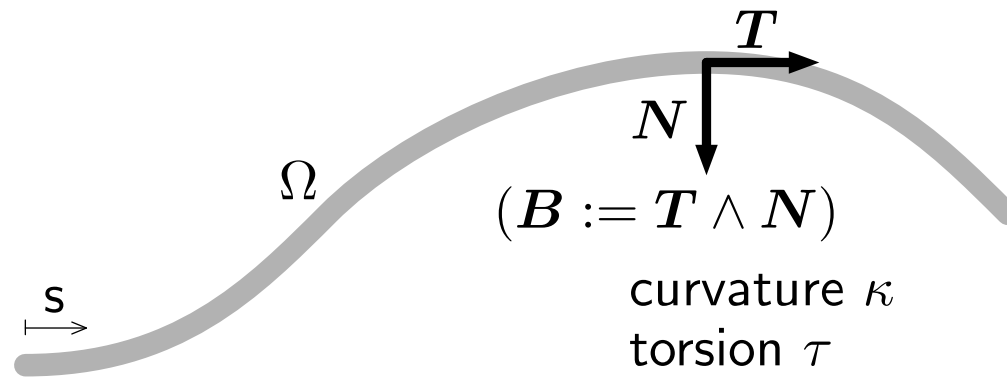
\mathcal{G} : scalar potential depending only on P .

Induced charges on the boundary: $\sigma_{\text{anch}}[\mathbf{P}] = \omega_P P (1 - \mathbf{p} \cdot \boldsymbol{\nu}) .$

The spontaneous bend depends on \mathbf{P} :

$$\mathbf{v}_0 = \begin{cases} \lambda \mathbf{P} & \text{if } \mathbf{P} \neq \mathbf{0} \quad (\text{spontaneous-polarization induced bend}) \\ b_0 \boldsymbol{\nu} \wedge \mathbf{n} & \text{if } \mathbf{P} = \mathbf{0} \quad (\text{flexoelectric; } \boldsymbol{\nu}, \text{ layer normal}) \end{cases}$$

Geometry



$$\Omega = \{ P \in \mathbb{R}^3 : P = \mathbf{c}(s) + \xi \mathbf{e}, \text{ for } s \in [0, \ell], \xi \in [0, r], \\ \mathbf{e} = \cos \vartheta \mathbf{N} + \sin \vartheta \mathbf{B} \}.$$

Free-boundary conditions on nematic and smectic fields

Thin capillary: all fields depend only on s $(\omega(s) \Rightarrow \nu = \mathbf{T})$

$$\mathbf{n} = \cos \alpha \mathbf{T} + \sin \alpha \cos \varphi \mathbf{N} + \sin \alpha \sin \varphi \mathbf{B}.$$

Linear shapes 1/3

Let $\kappa = \tau \equiv 0$ and $\mathbf{P} = \mathbf{0}$.

A non-zero cholesteric pitch may induce a SmA-SmC transition even if $C_{\perp} > 0$.

$$\begin{aligned}\sigma = \sigma_{\text{nem}} + \sigma_{\text{sm}} = & (K_1 \sin^2 \alpha + K_3 \cos^2 \alpha) \alpha'^2 + K_2 (q_{\text{ch}} - \varphi' \sin^2 \alpha)^2 \\ & + K_3 \sin^2 \alpha (b_0 - \varphi' \cos \alpha)^2 + C_{\parallel} \left[\rho'^2 \cos^2 \alpha + \rho^2 (\omega' \cos \alpha - q_{\text{sm}})^2 \right] \\ & + C_{\perp} \sin^2 \alpha (\rho'^2 + \rho^2 \omega'^2) + \zeta(\rho)\end{aligned}$$

Integrate E.L. eqn's for φ, ω ...

Look for solutions with $\alpha \equiv \alpha_0$ and $\rho \equiv \rho_0$...

Linear shapes 2/3

$$\sigma(\alpha_0, \rho_0) = \frac{K_2 K_3 (q_{\text{ch}} \cos \alpha_0 - b_0 \sin^2 \alpha_0)^2}{K_2 \sin^2 \alpha_0 + K_3 \cos^2 \alpha_0} + \frac{C_{\parallel} C_{\perp} \rho_0^2 q_{\text{sm}}^2 \sin^2 \alpha_0}{C_{\parallel} \cos^2 \alpha_0 + C_{\perp} \sin^2 \alpha_0} + \zeta(\rho_0).$$

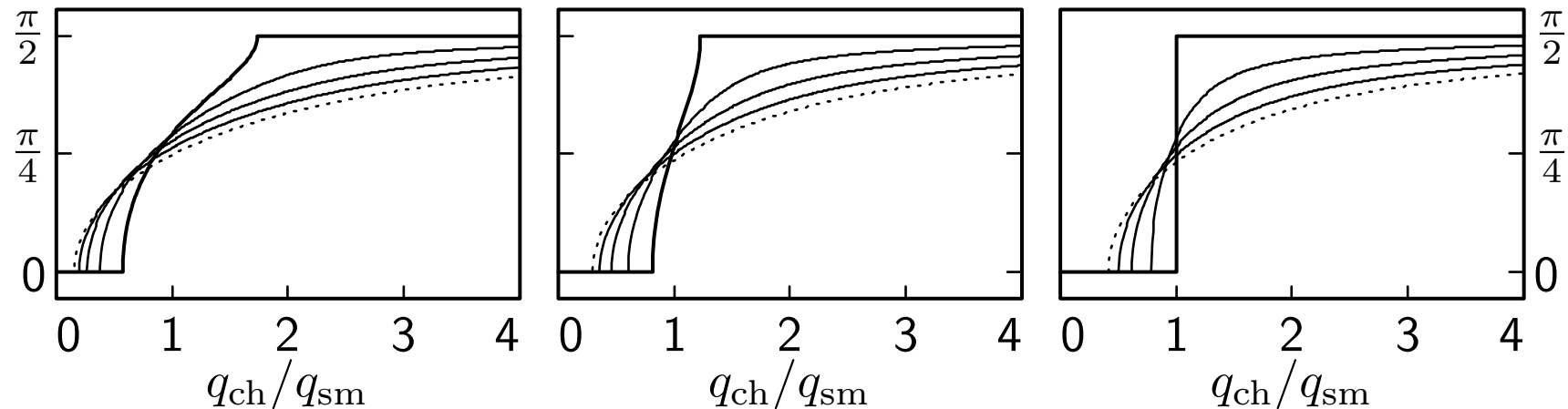
- SmA phase ($\alpha_0 = 0$) always a stationarity point.
- SmA unstable even when $C_{\perp} > 0$, provided that

$$C_{\perp} q_{\text{sm}}^2 \rho_0^2 < \frac{K_2}{K_3} q_{\text{ch}} (K_2 q_{\text{ch}} + 2b_0 K_3),$$

since

$$\sigma(\alpha_0, \rho_0) = \sigma(0, \rho_0) + \left(C_{\perp} q_{\text{sm}}^2 \rho_0^2 - \frac{K_2 q_{\text{ch}} (K_2 q_{\text{ch}} + 2b_0 K_3)}{K_3} \right) \alpha_0^2 + O(\alpha_0^4) \quad \text{as } \alpha_0 \rightarrow 0.$$

Linear shapes 3/3



α_0^{opt} as a function of q_{ch}/q_{sm} when

$$K_2 = K_3 = C_{\parallel} \rho_0^2;$$

$C_{\perp} = \frac{1}{3}C_{\parallel}$ (left), $C_{\perp} = \frac{2}{3}C_{\parallel}$ (center) or $C_{\perp} = C_{\parallel}$ (right), and

$b_0/q_{sm} = 0$ (bold), $\frac{1}{4}, \frac{1}{2}, \frac{3}{4}, 1$ (dotted).

Flexoelectric bending?

No spontaneous bending if v_0 is of flexoelectric origin.

$$\int_{\xi, \vartheta} \sigma = f(\kappa r) K_{13} A^2 + (f(\kappa r) - 1) K_{23} \Phi^2 + \varpi^2 \frac{f(\kappa r) - 1}{f(\kappa r)} + K_{23} (\Phi - q_1)^2 + \frac{K_2 K_3}{K_{23}} (q_{\text{ch}} \cos \alpha - b_0 \sin^2 \alpha)^2 + \frac{C_{\parallel} C_{\perp} \rho_0^2 q_{\text{sm}}^2 \sin^2 \alpha}{C_{\parallel} \cos^2 \alpha + C_{\perp} \sin^2 \alpha}$$

with

$$A := \alpha' + \kappa \cos \varphi$$

$$K_{13} := K_1 \sin^2 \alpha + K_3 \cos^2 \alpha$$

$$\Phi := (\varphi' - \tau) \sin \alpha - \kappa \cos \alpha \sin \varphi$$

$$K_{23} := K_2 \sin^2 \alpha + K_3 \cos^2 \alpha$$

$$q_1 := \frac{(K_2 q_{\text{ch}} + K_3 b_0 \cos \alpha) \sin \alpha}{K_{23}}$$

$$\varpi^2 := \frac{C_{\parallel}^2 \rho_0^4 q_{\text{sm}}^2 \cos^2 \alpha}{C_{\parallel} \cos^2 \alpha + C_{\perp} \sin^2 \alpha}$$

$$f(x) := \begin{cases} 2(1 - \sqrt{1 - x^2}) / x^2 & \text{if } x \in (0, 1] \\ 1 & \text{if } x = 0, \end{cases}$$

Bent SmA* 1/4

Let $\alpha \equiv 0$. Assume $\rho \equiv \rho_0$, $P \equiv P_0 =: \lambda_0/\lambda$, ($[\lambda_0] = L^{-1}$).

Let $\Gamma := GP_0^2$, $\Gamma_1 := G_1P_0^2$.

Let $\mathbf{P} = P_0 (\cos \phi \mathbf{B} + \sin \phi \mathbf{N})$.

Bulk free-energy density $\sigma_b = \sigma_{\text{nem}} + \sigma_{\text{sm}} + \sigma_{\text{pol}}$

$$\begin{aligned} \sigma_b = & K_2 q_{\text{ch}}^2 + K_3 \left[\left(\lambda_0 - \frac{\kappa}{1 - \kappa \xi \cos \vartheta} \right)^2 + \frac{2\kappa \lambda_0 (1 - \sin \phi)}{1 - \kappa \xi \cos \vartheta} \right] \\ & + C_{\parallel} \rho_0^2 \left(\frac{\omega'}{1 - \kappa \xi \cos \vartheta} - q_{\text{sm}} \right)^2 + \frac{\Gamma_1 \kappa^2 \sin^2 \phi}{(1 - \kappa \xi \cos \vartheta)^2} \\ & + \Gamma \frac{\kappa^2 \sin^2 \phi + (\phi' + \tau)^2}{(1 - \kappa \xi \cos \vartheta)^2}. \end{aligned}$$

Anchoring: $\sigma_{\text{anch}} = \omega_P P_0 (1 - \sin(\vartheta + \phi))$.

Integrate over the transverse section, insert equilibrium value of ω' ...

$$\begin{aligned} \frac{1}{\pi r^2} \int_{\xi, \vartheta} \sigma &= K_2 q_{\text{ch}}^2 + K_3 (\lambda_0^2 - 2\kappa\lambda_0 \sin \phi + \kappa^2 f(\kappa r)) \\ &+ C_{\parallel} \rho_0^2 q_{\text{sm}}^2 \frac{f(\kappa r) - 1}{f(\kappa r)} \\ &+ (\Gamma + \Gamma_1) \kappa^2 \sin^2 \phi f(\kappa r) + \Gamma (\phi' + \tau)^2 f(\kappa r) \\ &+ \frac{\omega_P \lambda_0 (2 + \kappa r \sin \phi)}{\lambda r} \end{aligned}$$

Optimal polarization direction for K_3 -term: $\mathbf{P} = P_0 \mathbf{N}$.

With above choices

$$\frac{\mathcal{F}_{\text{opt}}(\kappa, \tau)}{\pi r^2 \ell} = A_0 - A_1 \kappa r + A_2 (\kappa r)^2 f(\kappa r) - \frac{A_3}{f(\kappa r)} + B_1 f(\kappa r) (\tau r)^2 ,$$

where A 's and B 's are non-negative:

$$A_0 = K_2 q_{\text{ch}}^2 + K_3 \lambda_0^2 + C_{\parallel} \rho_0^2 q_{\text{sm}}^2 + 2\omega_P P_0 / r$$

$$A_1 = (2K_3 \lambda - \omega_P) \frac{P_0}{r} \qquad A_3 = C_{\parallel} \rho_0^2 q_{\text{sm}}^2$$

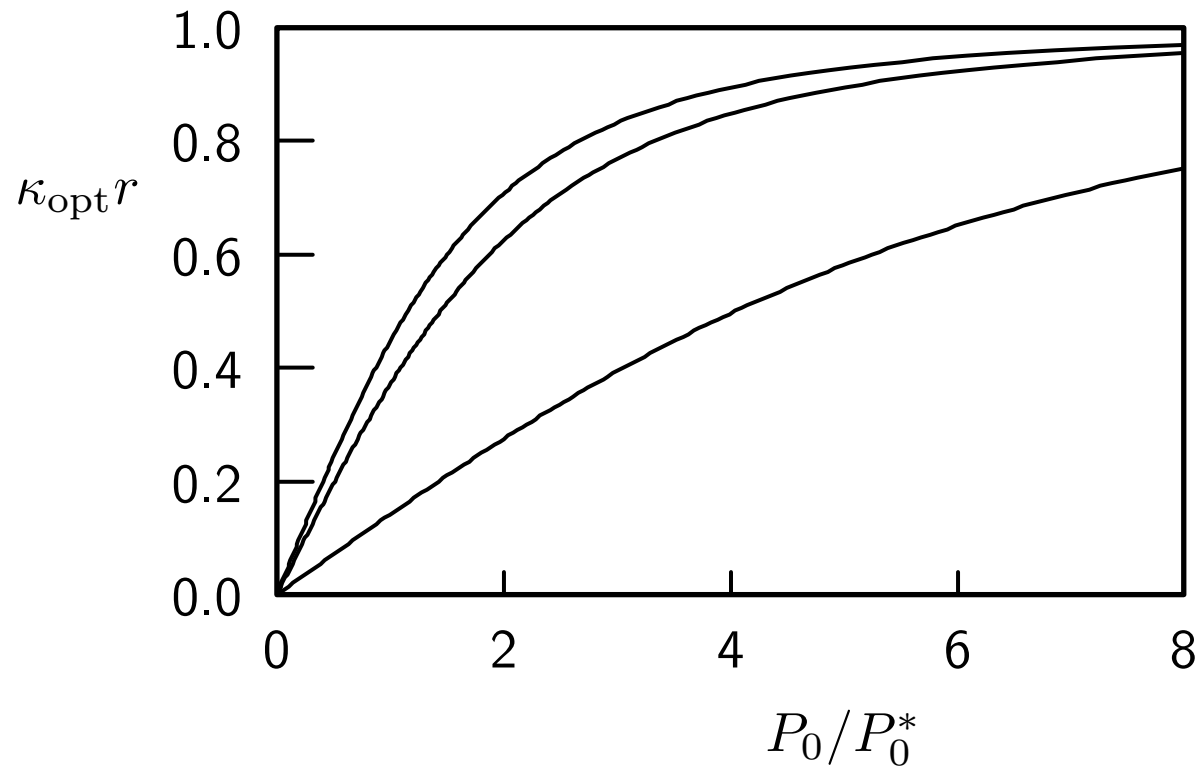
$$A_2 = (K_3 + \Gamma + \Gamma_1) / r^2 \qquad B_1 = \Gamma / r^2 .$$

Minimum attained at $\tau = 0$ and positive κ :

$$\frac{\mathcal{F}_{\text{opt}}(\kappa, 0)}{\pi r^2 \ell} = (A_0 - A_3) - A_1 \kappa r + \left(A_2 + \frac{A_3}{3} \right) (\kappa r)^2 + O(\kappa r)^4 \quad (\kappa r \rightarrow 0)$$

Bent SmA* 4/4

Preferred curvature of the axis of a SmA capillary*



$$\left(P_0^* := \frac{K_3 + \Gamma + \Gamma_1}{(2K_3\lambda - \omega_P)r} \right).$$

Top to bottom, $\frac{C_{\parallel} \rho_0^2 q_{\text{sm}}^2}{K_3 + \Gamma + \Gamma_1} = 0, 1, 10.$

Helicoidal SmC* 1/4

Assume $\alpha \equiv \alpha_0$, $\phi \equiv \phi_0$. Then:

$$\begin{aligned}
 \sigma_b = & \sigma_{sm}(\alpha_0) + K_1 \frac{\kappa^2 \cos^2 \varphi \sin^2 \alpha_0}{(1 - \kappa \xi \cos \vartheta)^2} \\
 & + K_2 \left(q_{ch} - \frac{\sin \alpha_0}{1 - \kappa \xi \cos \vartheta} \left((\varphi' - \tau) \sin \alpha_0 - \kappa \cos \alpha_0 \sin \varphi \right) \right)^2 \\
 & + K_3 \left[\left(\lambda_0 \sin \phi_0 - \frac{\kappa \cos \varphi \cos \alpha_0}{1 - \kappa \xi \cos \vartheta} \right)^2 \right. \\
 & \quad \left. + \left(\lambda_0 \cos \phi_0 - \frac{(\varphi' - \tau) \sin \alpha_0 - \kappa \cos \alpha_0 \sin \varphi}{1 - \kappa \xi \cos \vartheta} \cos \alpha_0 \right)^2 \right] \\
 & + \frac{\Gamma_1}{(1 - \kappa \xi \cos \vartheta)^2} \left[\left((\varphi' - \tau) \cos \alpha_0 + \kappa \sin \alpha_0 \sin \varphi \right) \cos \phi_0 \sin \alpha_0 \right. \\
 & \quad \left. - \left((\varphi' - \tau) \sin \alpha_0 \cos \phi_0 + \kappa \cos \varphi \sin \phi_0 - \kappa \cos \alpha_0 \sin \varphi \cos \phi_0 \right) \cos \alpha_0 \right]^2 \\
 & + \frac{\Gamma}{(1 - \kappa \xi \cos \vartheta)^2} \left[\left((\varphi' - \tau) \cos \alpha_0 + \kappa \sin \alpha_0 \sin \varphi \right)^2 \right. \\
 & \quad \left. + \left((\varphi' - \tau) \sin \alpha_0 \cos \phi_0 + \kappa \cos \varphi \sin \phi_0 - \kappa \cos \alpha_0 \sin \varphi \cos \phi_0 \right)^2 \right]
 \end{aligned}$$

Helicoidal SmC* 2/4

1-constant approximation + thin capillary regime:

$$K_1 = K_2 = K_3 = \Gamma = \omega_P / \lambda =: K ; \quad \Gamma_1 = 0 ; \quad \lambda_0 r \ll 1 \quad \implies$$

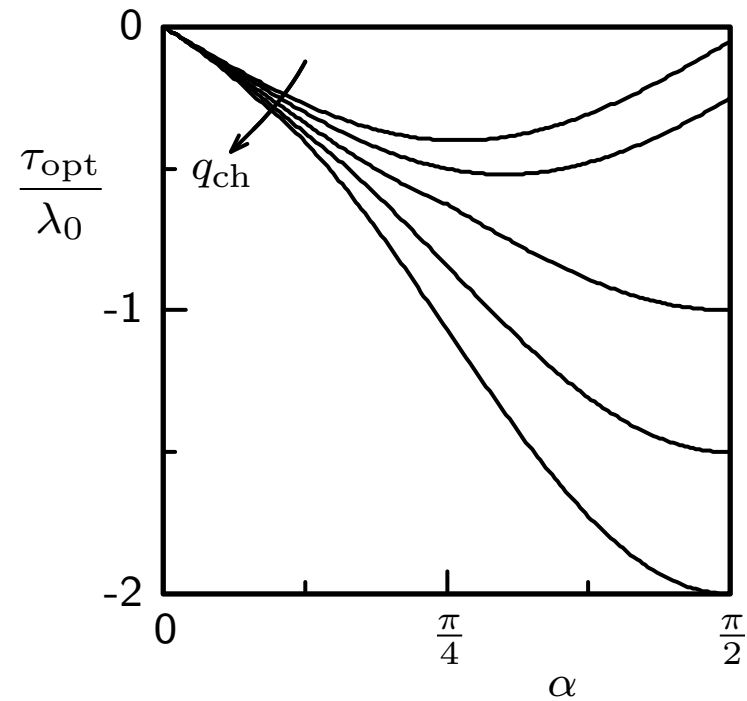
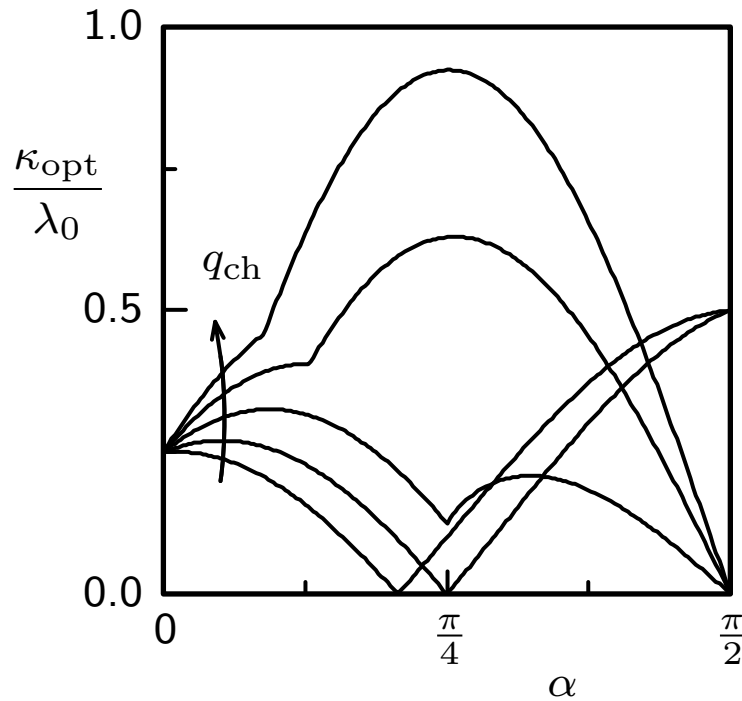
If $q_{\text{ch}} = 0$,

$$\kappa_{\text{opt}} \Big|_{q_{\text{ch}}=0} = \frac{|3 \cos 2\alpha_0 - 1|}{8} \lambda_0 \quad \text{and} \quad \tau_{\text{opt}} \Big|_{q_{\text{ch}}=0} = -\frac{3}{8} \sin 2\alpha_0 \lambda_0 .$$

In general, $\kappa_{\text{opt}}, \tau_{\text{opt}}$ are different from zero.



Helicoidal SmC* 3/4



Curvature and torsion of an optimal-shaped SmC* capillary.

The plots correspond to $q_{\text{ch}}/\lambda_0 = 0.1, 0.5, 1.0, 1.5, 2$.

Exp obs: $r = 0.85\mu\text{m}$, $r_{\text{hel}} = 2.25\mu\text{m}$, $p_{\text{hel}} = 6.7\mu\text{m}$; $q_{\text{ch}} = 0 \implies$

$\alpha_0 \approx 20^\circ\text{C}$.



Small- α_0 limit:

$$\kappa_{\text{opt}} = \frac{2K_3\lambda - \omega_P}{2(K_3 + \Gamma + \Gamma_1)} P_0 + O(\alpha_0),$$

$$\tau_{\text{opt}} = -\frac{K_3(2(\Gamma + \Gamma_1)\lambda + \omega_P)}{2\Gamma(K_3 + \Gamma + \Gamma_1)} P_0\alpha_0 + O(\alpha_0^2) \quad \text{as } \alpha_0 \rightarrow 0.$$

Optimal free energy:

$$\frac{\mathcal{F}_{\text{opt}}}{\pi r^2 \ell} = \sigma_{\text{sm}}(\alpha_0) + \left[K_3\lambda_0^2 - \frac{(2K_3\lambda - \omega_P)^2 P_0^2}{4(K_3 + \Gamma + \Gamma_1)} + K_2 q_{\text{ch}}^2 + \frac{2\omega_P P_0}{r} \right]$$

$$- \frac{2K_2(2K_3\lambda - \omega_P)P_0 q_{\text{ch}}\alpha_0}{2(K_3 + \Gamma + \Gamma_1)} + O(\alpha_0^2) \quad \dots$$

SmA phase unstable if $q_{\text{ch}} \neq 0$!*

Conclusions

- A non-null cholesteric pitch may induce a SmA-SmC transition, even when $C_{\perp} > 0$
- Flexoelectric effects do not lead to a spontaneous capillary bending
- $\kappa > 0$ in a SmA* capillary
- Telephone-cord instabilities arise in SmC*
- Cholesteric pitch not a direct ingredient for the tel-cord instability, but it induces also a SmA*-SmC* transition.