



# *Repertoire of nematic biaxial phases.*

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# 1 Summary

- Introduction
- Biaxial Interactions
- Mean-Field Theory
- Free Energy and Stability
- Bogolubov Principle
- Tricritical and Triple Points
- Repertoire of Phases

## 2 Introduction

For more than 30 years has the hunt for **nematic biaxial phases** been going on.

Strong evidence for existence of this phase recently emerged:

- ACHARYA *et al.* (2004)
- MADSEN *et al.* (2004)
- MERKEL *et al.* (2004)

This should open a new era for research, in LC field, eventually ending in **new applications**.

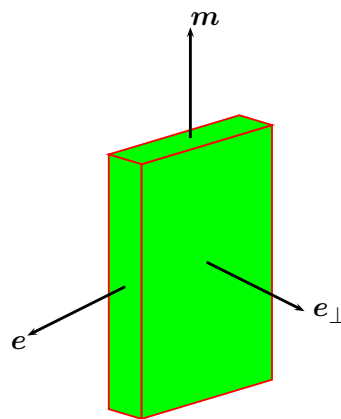
We need a **complete model** for **uniaxial** and **biaxial** nematic phases, and a thorough understanding of how they are related as a function of temperature.

### 3 Biaxial Interactions

*Biaxial Molecules*: described by platelets in which we distinguish three axes:  $m$ , “major” axis, and  $e$ ,  $e_{\perp}$  (eigenvectors of any molecular polarizability tensor).

Two components for the anisotropic part of every molecular biaxial tensor:

$$\mathbf{q} := \mathbf{m} \otimes \mathbf{m} - \frac{1}{3}\mathbf{I}, \quad \mathbf{b} := \mathbf{e} \otimes \mathbf{e} - \mathbf{e}_{\perp} \otimes \mathbf{e}_{\perp} \quad (1)$$



*Interaction Energy* between two molecules (STRALEY'S POTENTIAL):

$$V = -U_0[\mathbf{q} \cdot \mathbf{q}' + \gamma(\mathbf{q} \cdot \mathbf{b}' + \mathbf{b} \cdot \mathbf{q}') + \lambda \mathbf{b} \cdot \mathbf{b}'], \quad (2)$$

- *Remark 1:*

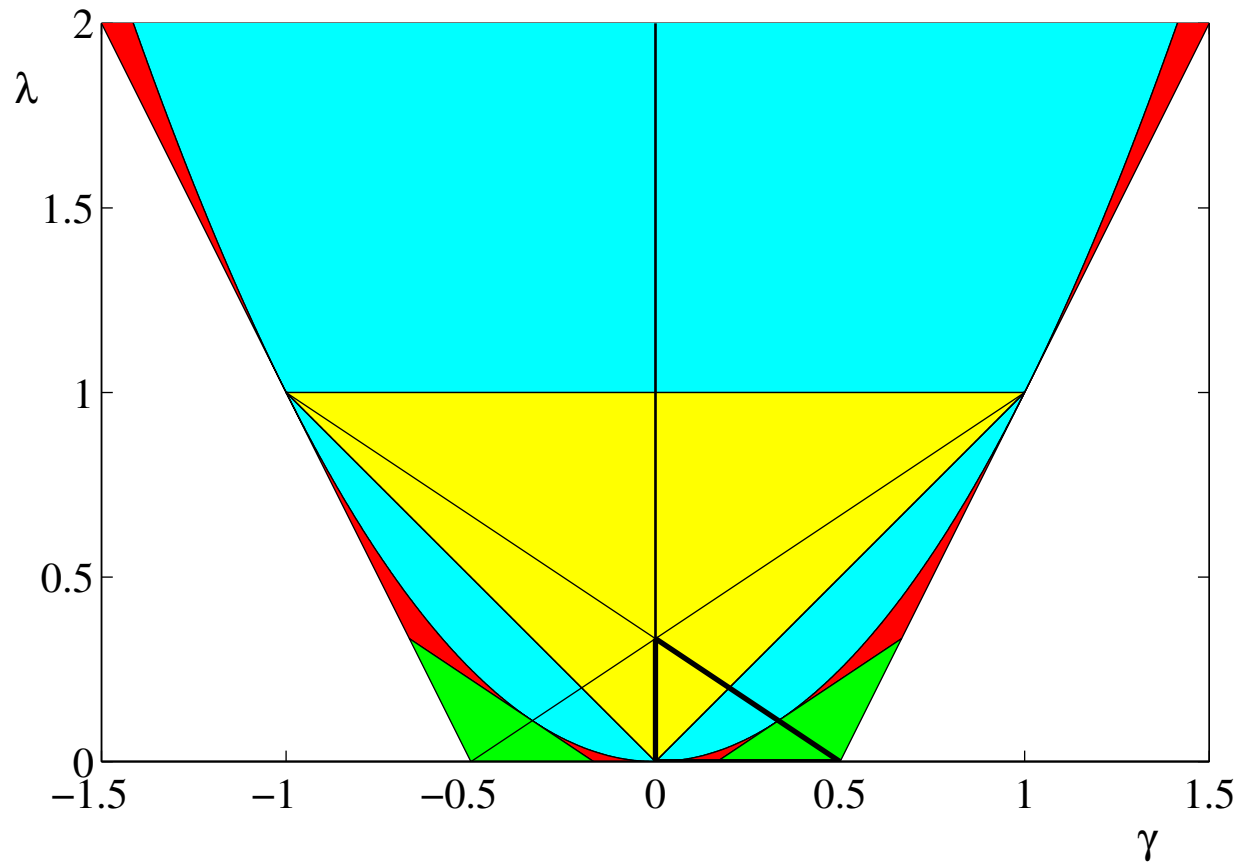
$\lambda = \gamma = 0 \quad \Rightarrow$  MAIER-SAUPE interaction energy.

- *Remark 2:*

$\lambda = \gamma^2 \quad \Rightarrow$  LONDON'S dispersion forces approximation.

- There exist  $V$ -invariant transformations (LONGA ET AL., 2005; DE MATTEIS ET AL., 2005)

## Conjugation Chart



### Ensemble Averages:

$$\mathbf{Q} := \langle \mathbf{q} \rangle =: S \left( \mathbf{e}_z \otimes \mathbf{e}_z - \frac{1}{3} \mathbf{I} \right) + T (\mathbf{e}_x \otimes \mathbf{e}_x - \mathbf{e}_y \otimes \mathbf{e}_y) \quad (3a)$$

$$\mathbf{B} := \langle \mathbf{b} \rangle =: S' \left( \mathbf{e}_z \otimes \mathbf{e}_z - \frac{1}{3} \mathbf{I} \right) + T' (\mathbf{e}_x \otimes \mathbf{e}_x - \mathbf{e}_y \otimes \mathbf{e}_y) \quad (3b)$$

- *Remark 1:*

unlike  $\mathbf{q}$  and  $\mathbf{b}$ ,  $\mathbf{Q}$  and  $\mathbf{B}$  are NOT orthogonal.

### Terminology:

- **Uniaxial Phases** have  $T = T' = 0$  (both  $\mathbf{Q}$  and  $\mathbf{B}$  uniaxial).
- **Phase Biaxiality** occurs when  $S' = T' = 0$  (cylindrical molecules).
- **Intrinsic Biaxiality** occurs whenever  $T' \neq 0$ .



### *Order Parameter Manifold:*

EULER ANGLES  $(\vartheta, \varphi, \psi)$  representing the rotation that take  $\{\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z\}$  into  $\{\mathbf{m}, \mathbf{e}, \mathbf{e}_\perp\}$ . Then:

$$S = \frac{3}{2} \langle \cos^2 \vartheta - 1/3 \rangle \Rightarrow -1/2 \leq S \leq 1 \quad (4a)$$

$$T = \frac{1}{2} \langle \sin^2 \vartheta \cos 2\varphi \rangle \Rightarrow -(1/3)(1 - S) \leq T \leq (1/3)(1 - S) \quad (4b)$$

$$S' = \frac{3}{2} \langle \sin^2 \vartheta \cos 2\psi \rangle \Rightarrow -(1 - S) \leq S' \leq (1 - S) \quad (4c)$$

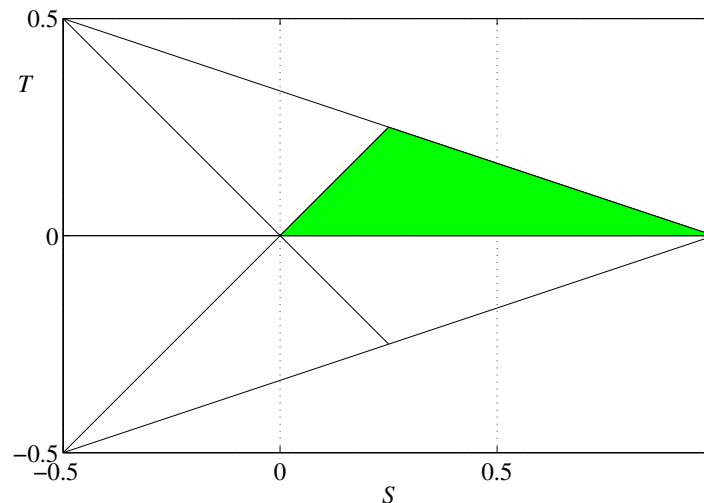
$$T = \frac{1}{2} \langle (1 + \cos^2 \vartheta) \cos 2\varphi \cos 2\psi - 2 \cos \vartheta \sin 2\varphi \sin 2\psi \rangle \quad (4d)$$

$$\Rightarrow -1 \leq T' \leq 1$$

Different set of order parameters may describe the same state: we recast  $(S, T, S', T')$  in a selected region of the order parameter manifold by using the mappings:

$$(S, T, S', T') \mapsto (S, -T, S', -T') \quad (5a)$$

$$(S, T, S', T') \mapsto \left( \frac{\pm 3T - S}{2}, \frac{T \pm S}{2}, \frac{\pm 3T' - S'}{2}, \frac{T' \pm S'}{2} \right) \quad (5b)$$



## 4 Mean-Field Model

*Pseudopotential:*

$$U = -U_0[\mathbf{q} \cdot \mathbf{Q} + \gamma(\mathbf{q} \cdot \mathbf{B} + \mathbf{b} \cdot \mathbf{Q}) + \lambda \mathbf{b} \cdot \mathbf{B}], \quad (6)$$

*Partition Function:*

$$Z := \int_{\mathbb{T}} \exp[\beta(\mathbf{q} \cdot \mathbf{Q} + \gamma(\mathbf{q} \cdot \mathbf{B} + \mathbf{b} \cdot \mathbf{Q}) + \lambda \mathbf{b} \cdot \mathbf{B})], \quad (7)$$

where  $\mathbb{T} = \mathbb{S}^2 \times \mathbb{S}$  is the toroidal manifold,  $\beta := U_0/(k_B T)$ , with  $k_B$  being the BOLTZMANN constant and  $T$  the absolute temperature.

*Free Energy:*

$$\begin{aligned} \mathcal{F}^* := \mathcal{F}/U_0 = & \frac{1}{2}(\mathbf{Q} \cdot \mathbf{Q} + 2\gamma\mathbf{Q} \cdot \mathbf{B} + \lambda\mathbf{B} \cdot \mathbf{B}) - \frac{1}{\beta} \log \frac{Z}{8\pi^2} \\ & \frac{1}{3}S^2 + T^2 + 2\gamma\left(\frac{1}{3}SS' + TT'\right) + \lambda\left(\frac{1}{3}(S')^2 + (T')^2\right) \\ & - \frac{1}{\beta} \log \frac{Z}{8\pi^2} \end{aligned} \quad (8)$$

The states corresponding to equilibrium point of  $F$  in (8) satisfy the compatibility conditions

$$\mathbf{Q} = \int_{\mathbb{T}} f\mathbf{q} = \langle \mathbf{q} \rangle \quad \mathbf{B} = \int_{\mathbb{T}} f\mathbf{b} = \langle \mathbf{b} \rangle \quad (9)$$

## 5 Bogolubov Principle

We can write the the interaction potential  $V$  as a function of new tensor variables:

$$V = -U_0(a^+ \mathbf{q}^+ \cdot \mathbf{q}^{+'} + a^- \mathbf{q}^- \cdot \mathbf{q}^{-'}), \quad (10)$$

with  $\mathbf{q}^\pm := \mathbf{q} \pm \gamma^\pm \mathbf{b}$  and  $\mathbf{q}^+ \cdot \mathbf{q}^- = 0$ . Hence:

$$\mathcal{F}^*(\mathbf{Q}^+, \mathbf{Q}^-) = (a^+ \mathbf{Q}^+ \cdot \mathbf{Q}^+ + a^- \mathbf{Q}^- \cdot \mathbf{Q}^-) - \frac{1}{\beta} \log \frac{Z}{8\pi^2}; \quad (11)$$

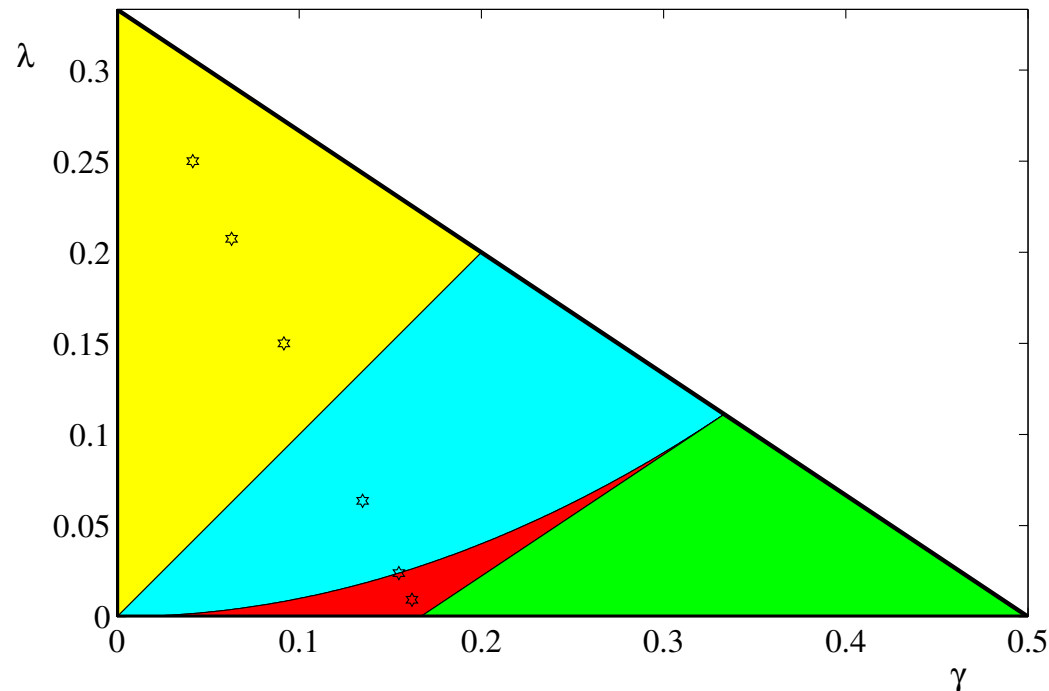
- **Above the parabola**, both  $a^+$  and  $a^-$  are **positive**: stable states are local minima.
- **Below the parabola**, one coefficient is **negative**:  $a^- < 0$ . It can be shown that for all stationary states  $\mathcal{F}$  has a **maximum** in  $\mathbf{Q}^-$  at given  $\mathbf{Q}^+$ .

In this latter case, according to **BOGOLUBOV principle**, the best approximation to the stable equilibrium state are given by:

$$\min_{\mathbf{Q}^+} \max_{\mathbf{Q}^-} \mathcal{F}(\mathbf{Q}^+, \mathbf{Q}^-); \quad (12)$$

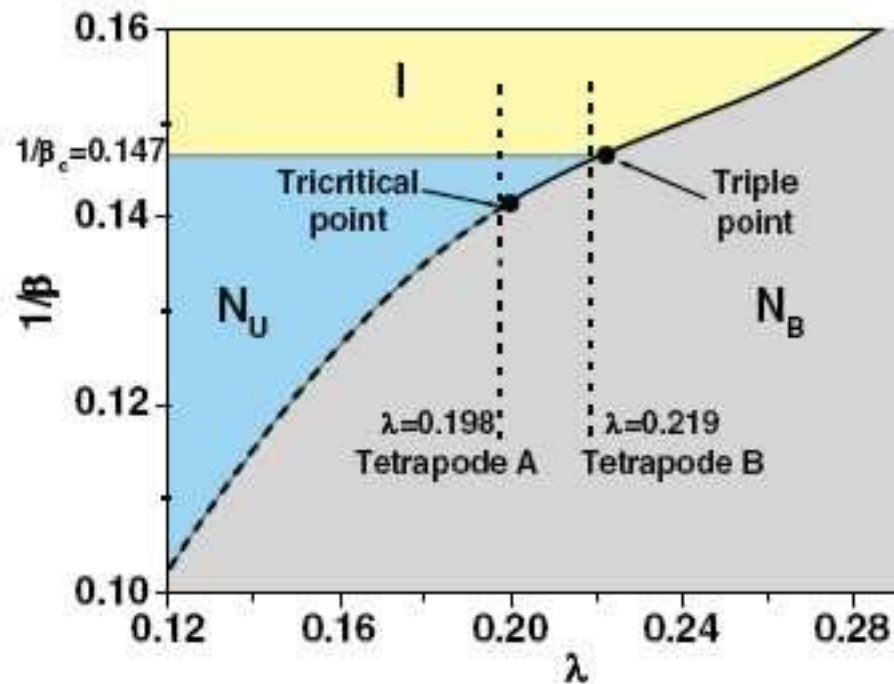
As the dimension of the eigenspace associated to  $\mathbf{Q}^-$  is 2, assessing the stability of an equilibrium solution below the parabola boils down to the **third eigenvalue criterion**.

## *Essential Triangle*



We explore some points inside the **essential triangle** to assess the effect of several flavours in the interaction potential.

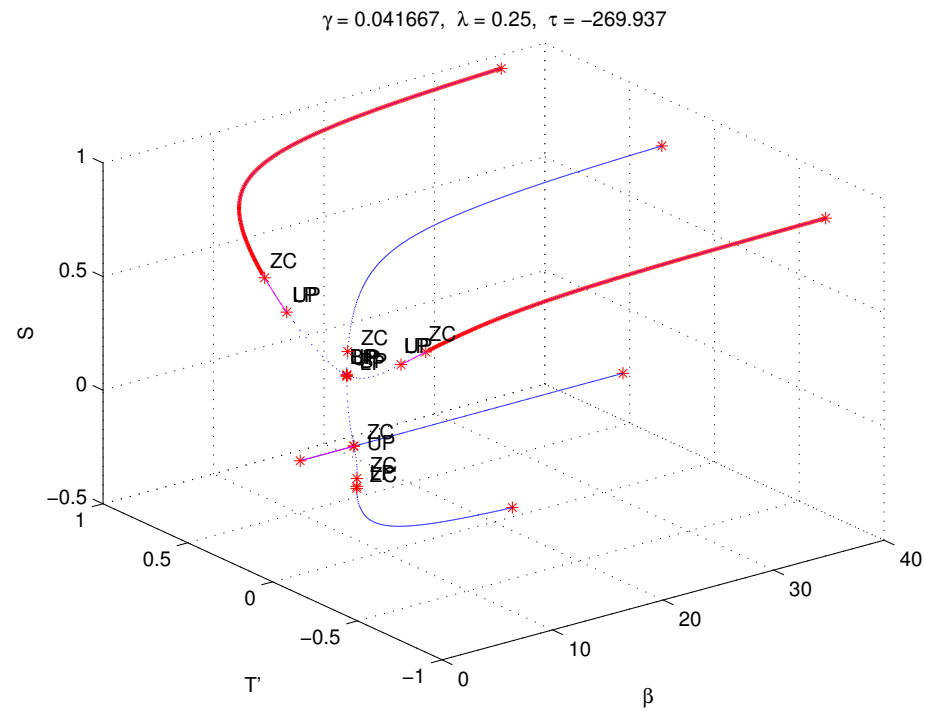
## Experimental Evidence

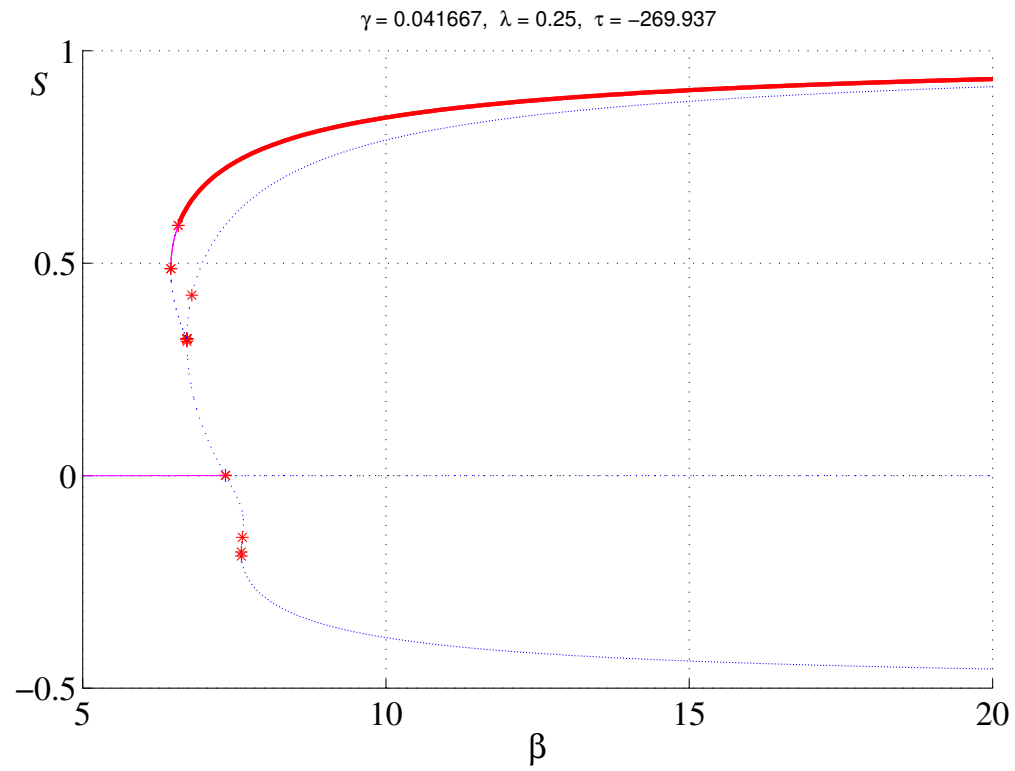


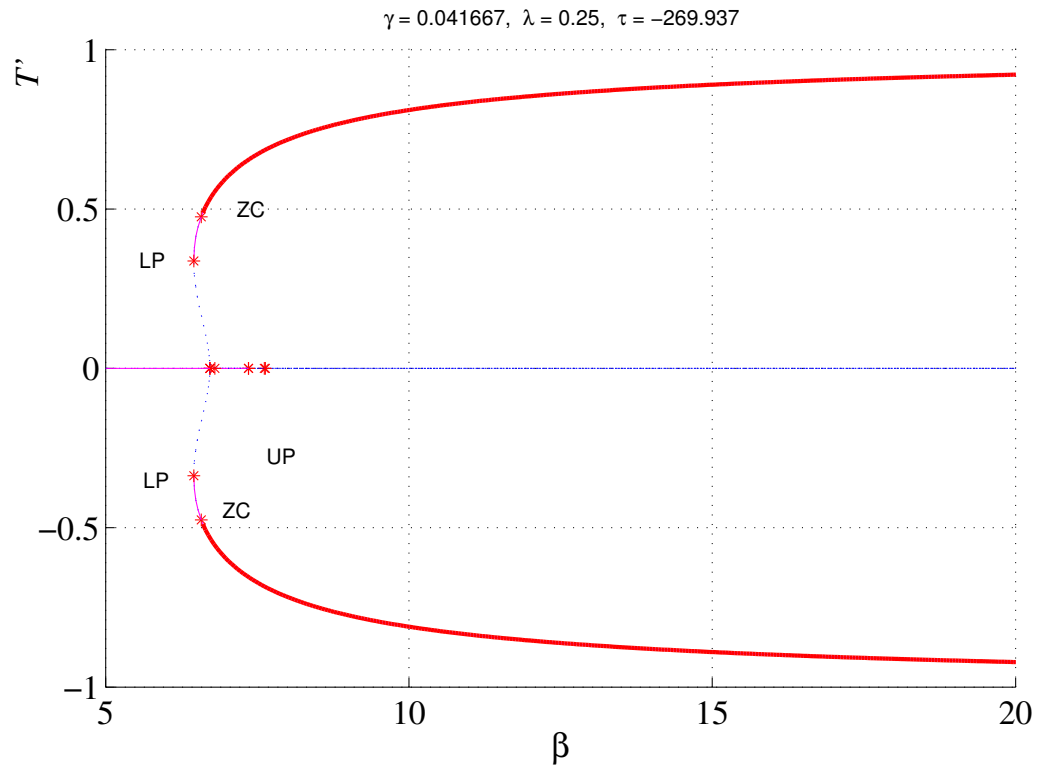
Recently experimental results obtained on tetrapodes seem to be in agreement with the prediction of the model with  $\gamma = 0$  (MERKEL, VIJ ET AL., 2004). What if  $\gamma \neq 0$ ?

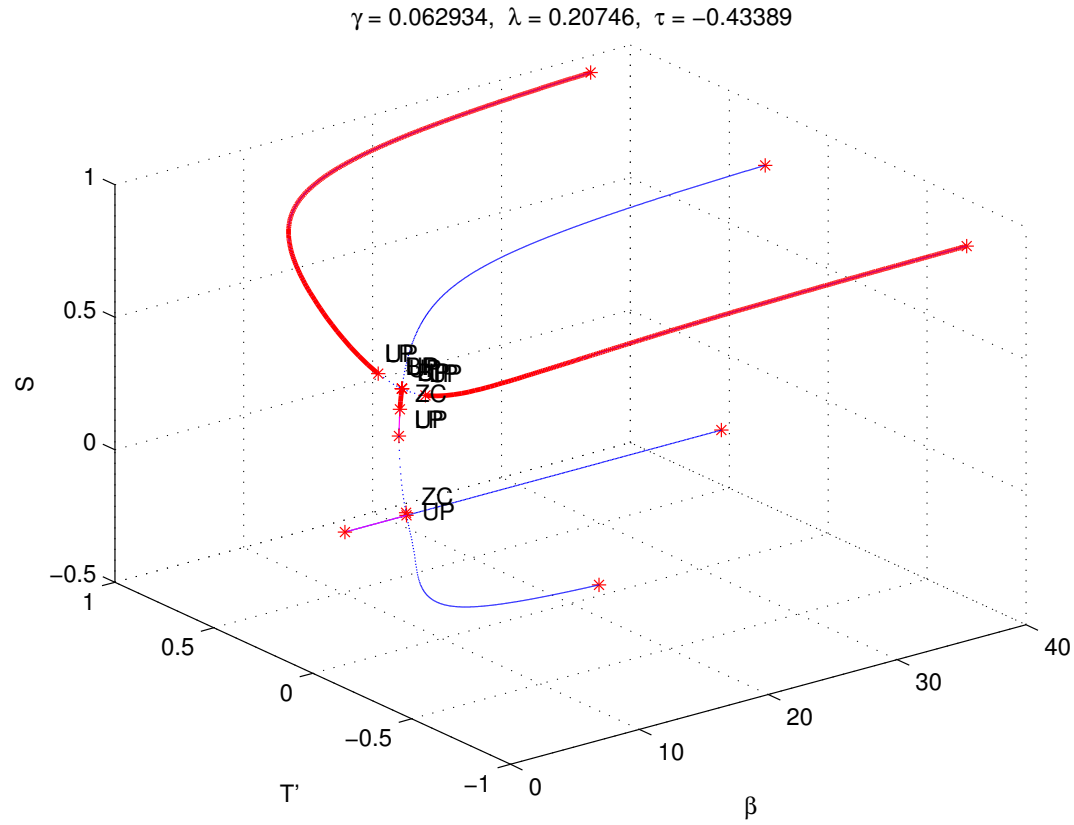


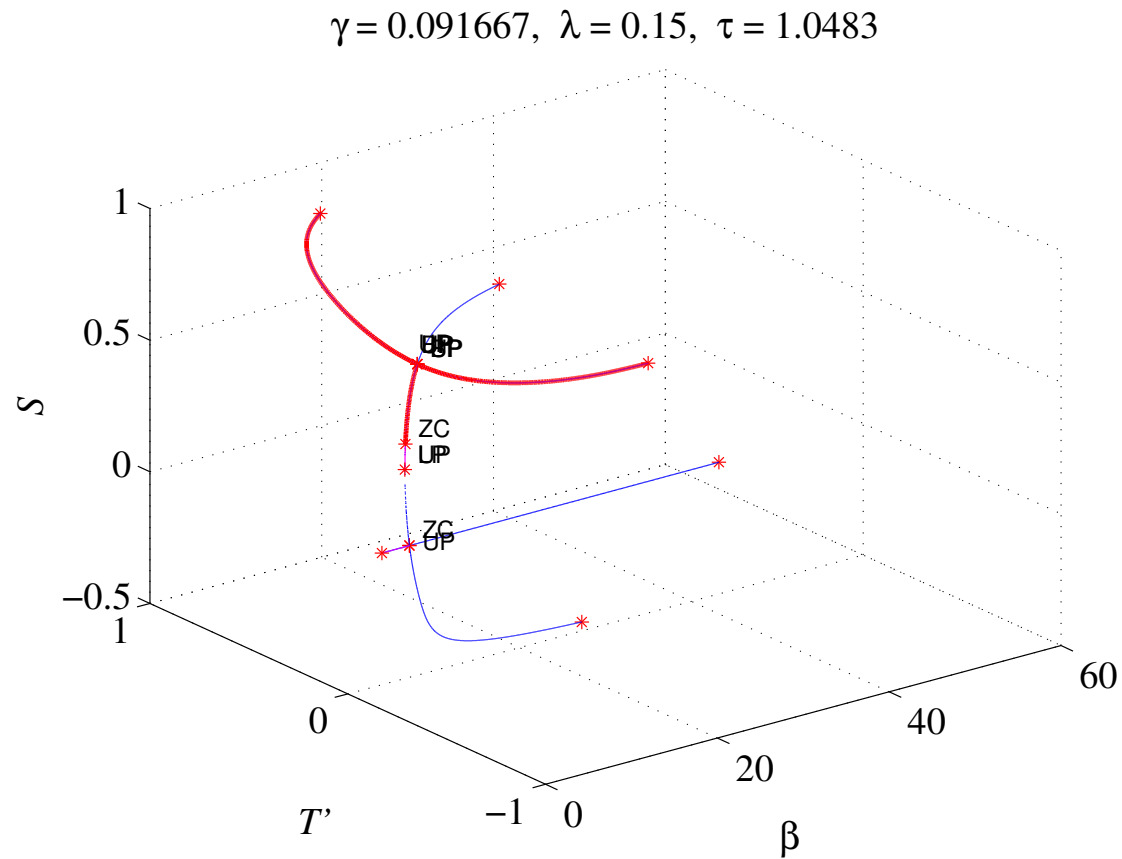
## 6 Bifurcation plots

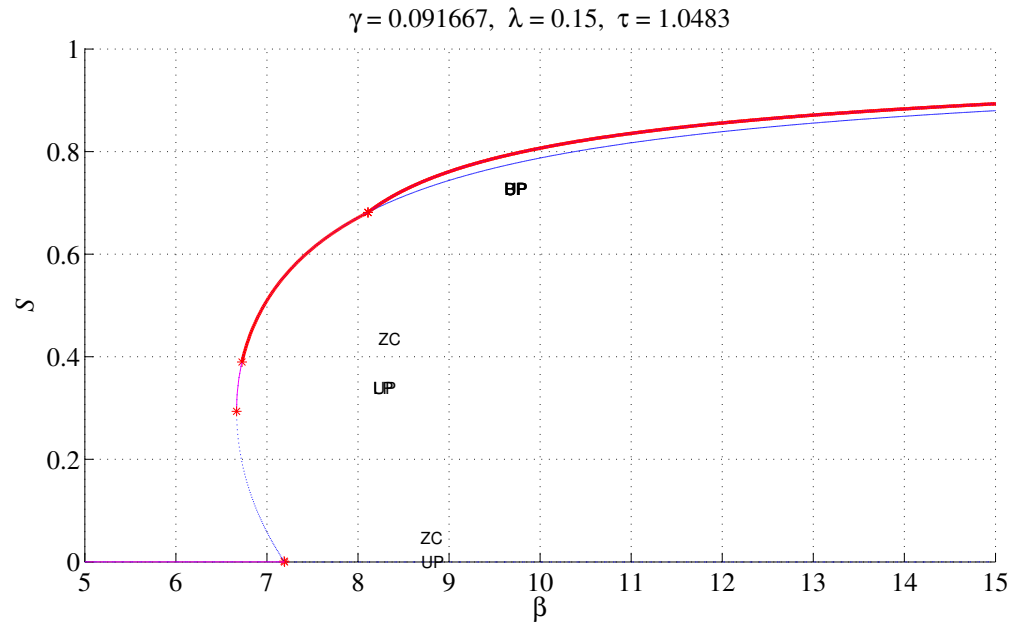


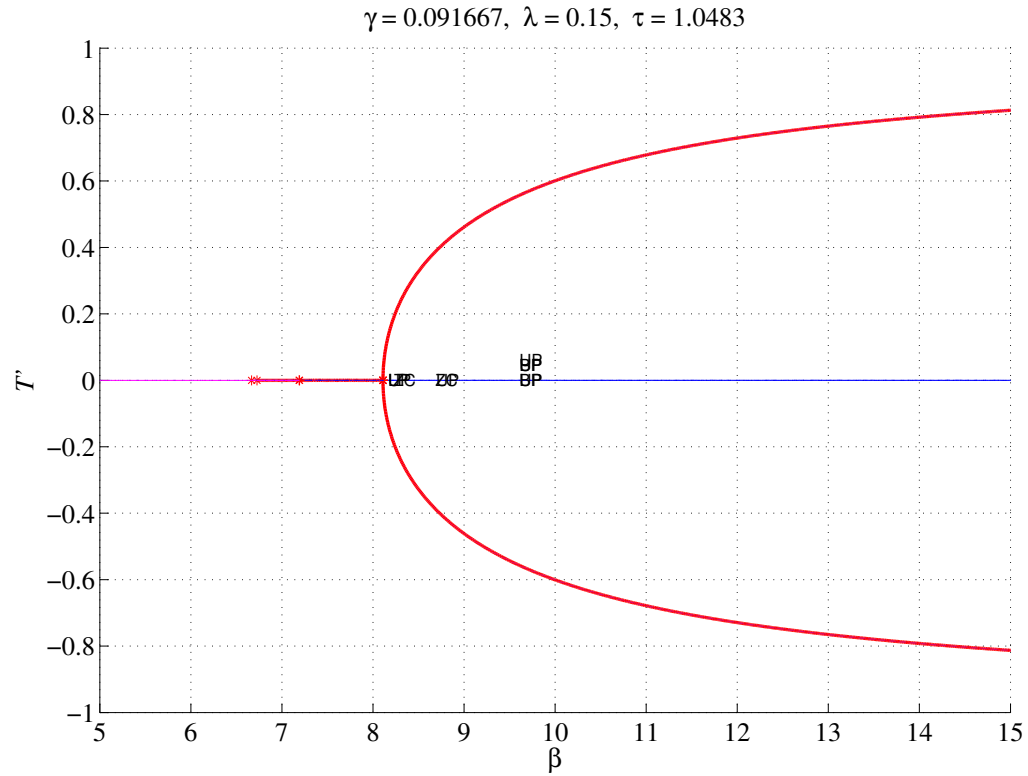


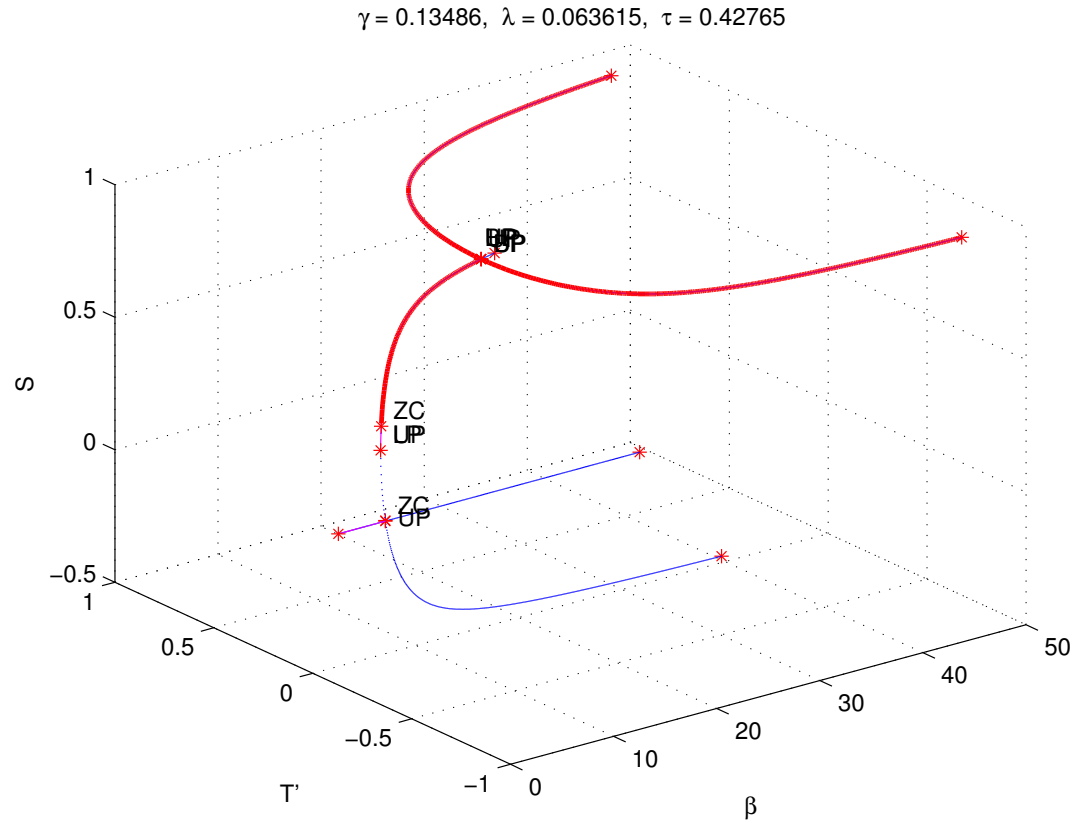




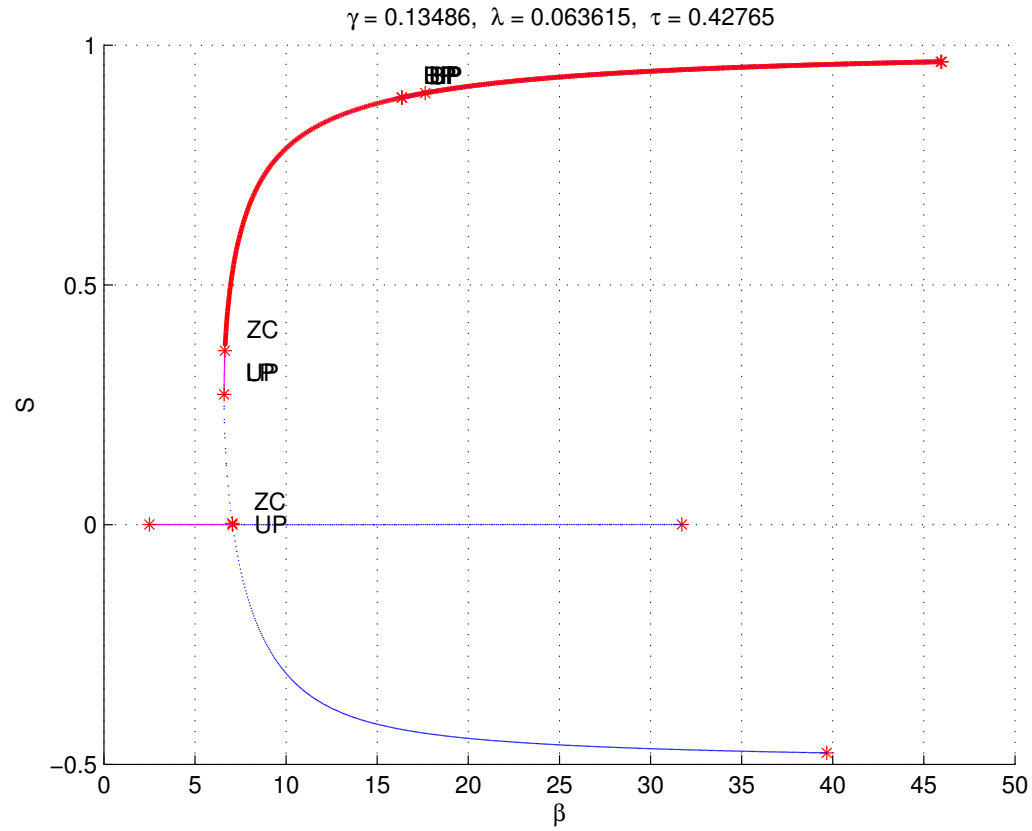


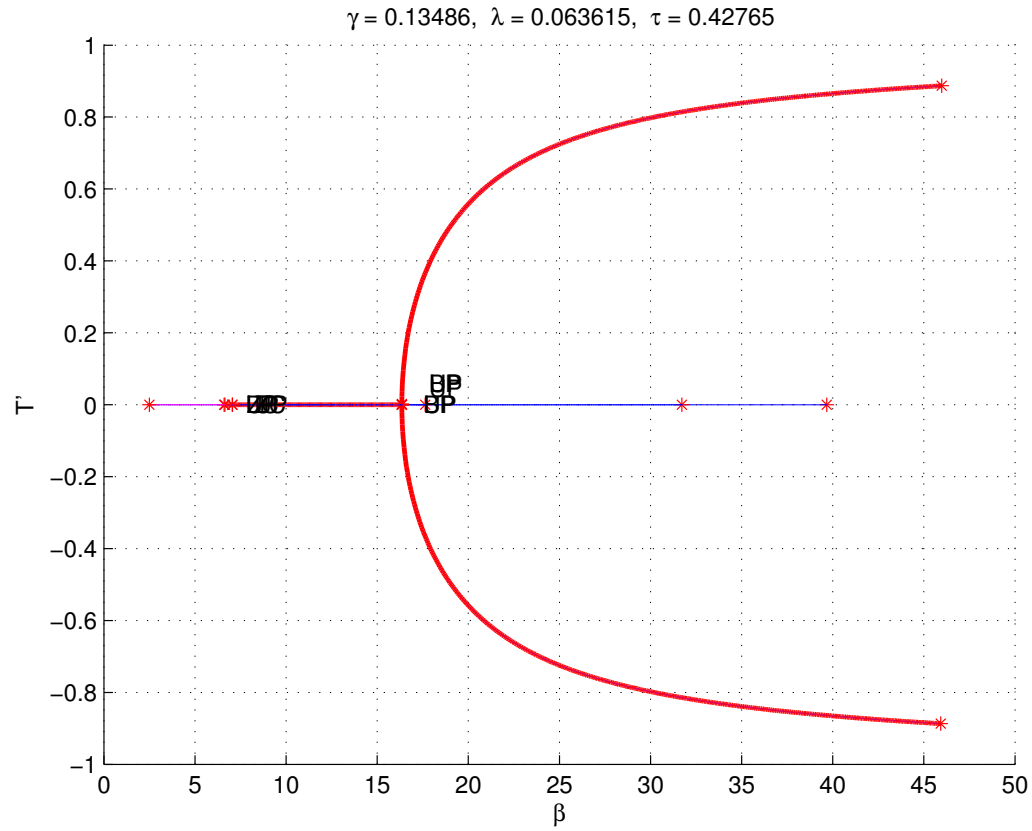


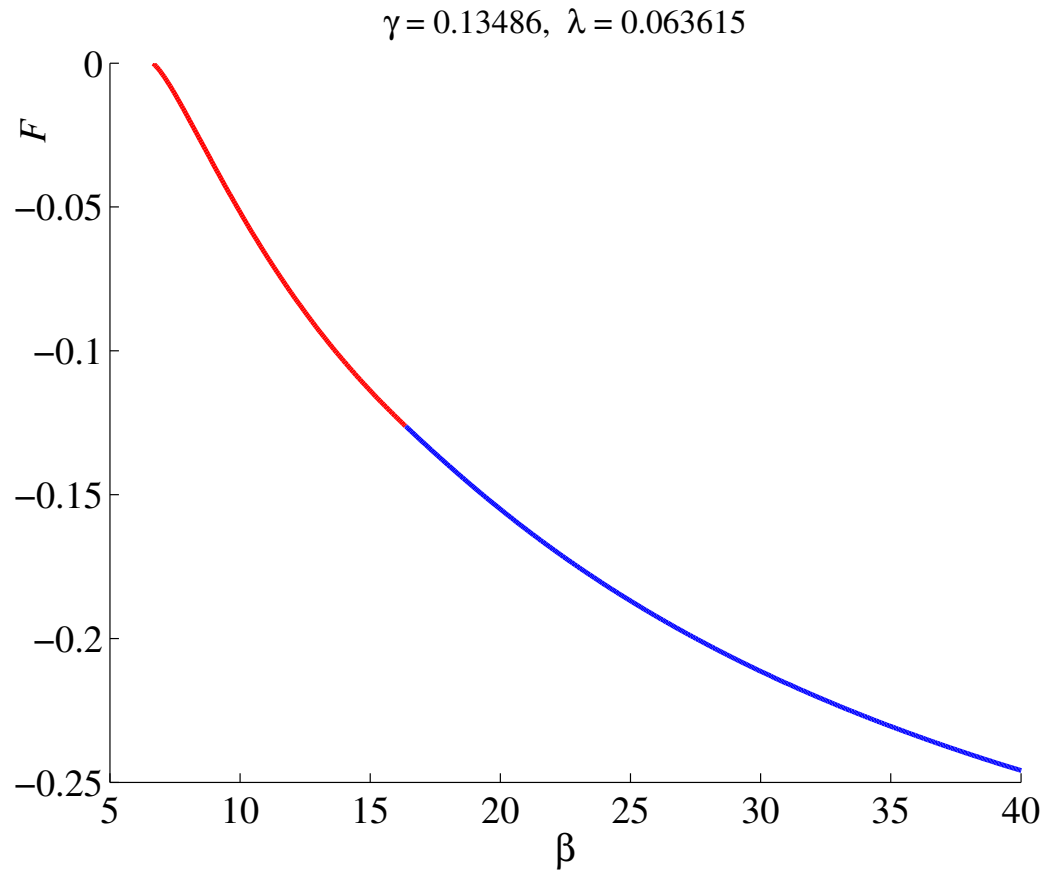


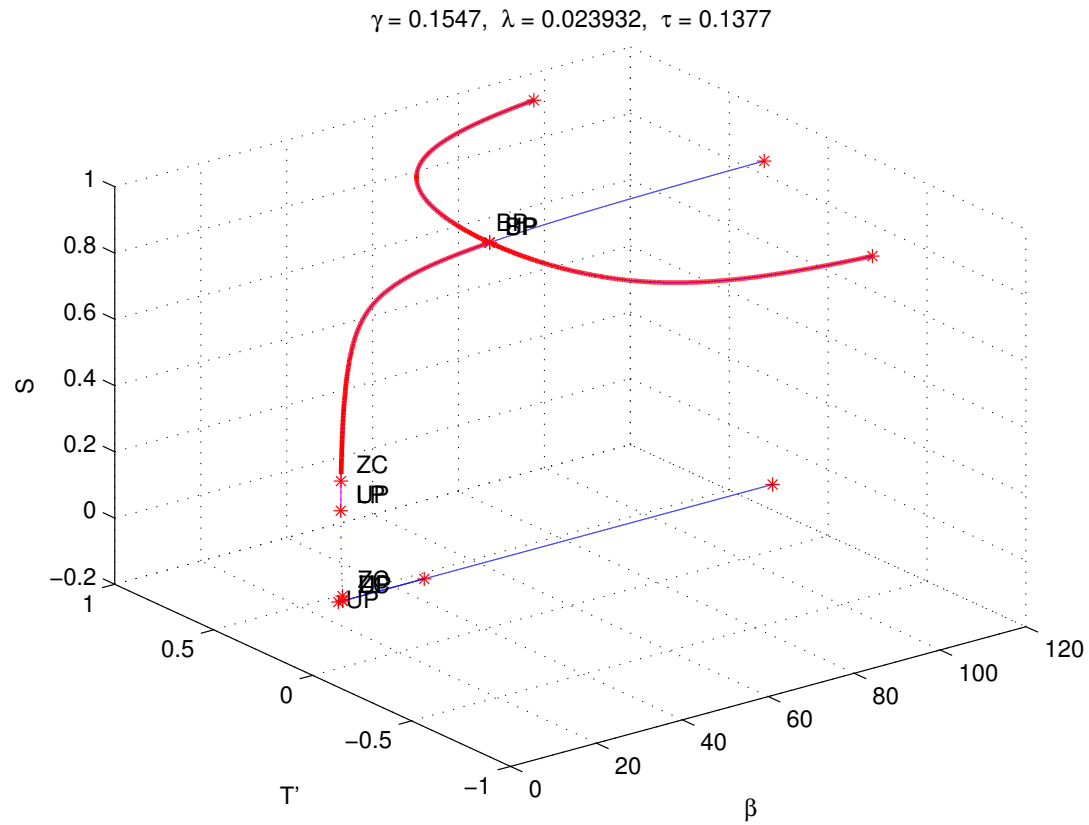




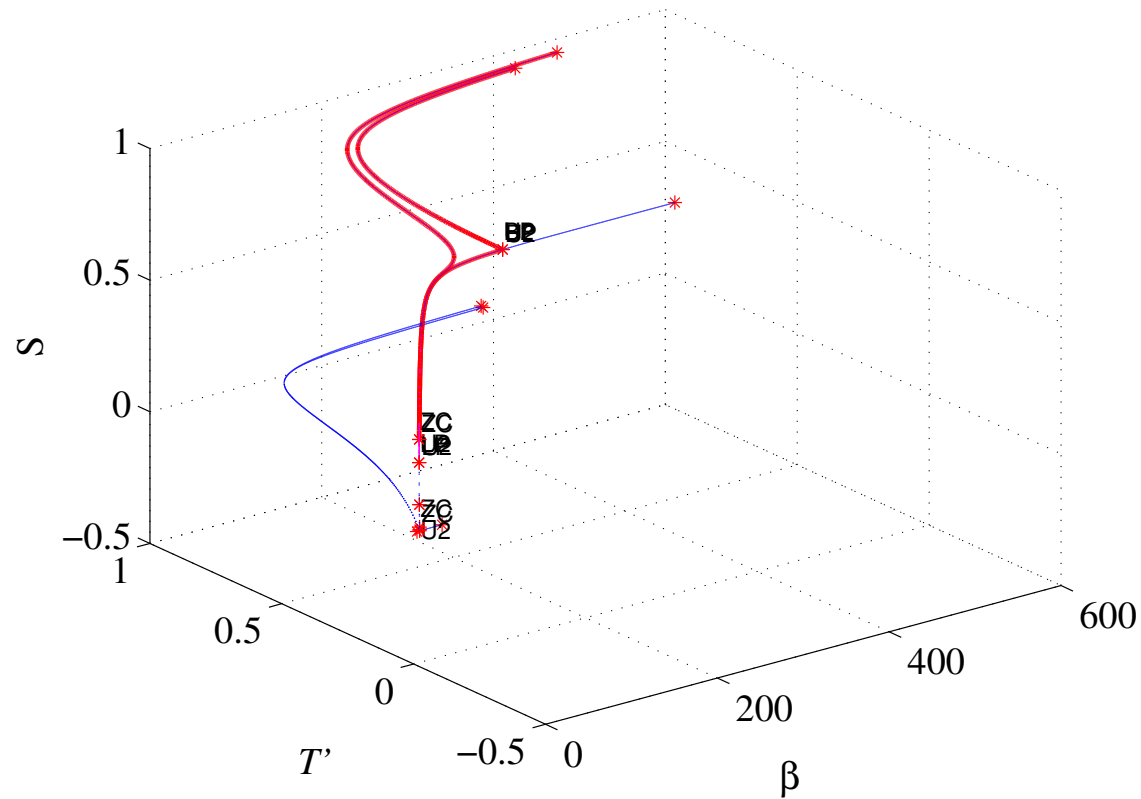




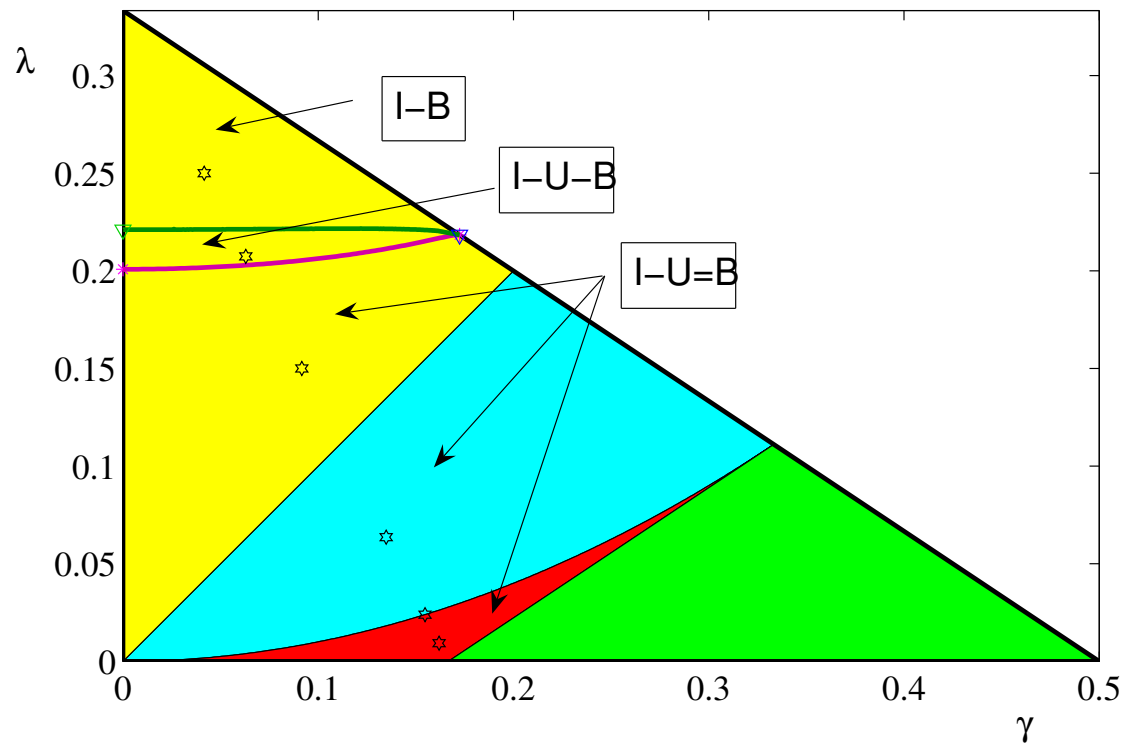




$$\gamma = 0.16198, \lambda = 0.0093654, \tau = 0.05894$$



## 7 Tricritical and Triple Lines



## 8 Final Remarks

- **1:** above the parabola, the sequence of phases somehow mimicks the one predicted for  $\gamma = 0$
- **2:** upon crossing the high-degeneracy line of the parabola, the bifurcation diagramme unfolds.
- **3:** more detail on some aspects of the model can be discussed during this afternoon's Round Table on Biaxial Nematics (see E.G. Virga's and G. De Matteis' presentations).

## 9 Acknowledgements

### Co-authors

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